Docket No.: 3364P109

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

HO-SANG SUNG, ET AL.

Art Group:

Application No.:

Examiner:

Filed:

For: TRANSMITTER AND RECEIVER FOR

SPEECH CODING AND DECODING BY USING ADDITIONAL BIT ALLOCATION METHOD

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted concurrently with the Utility Application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 6/26/03

William Thomas Babbitt, Reg. No. 39,591

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Substitute for form 1449A/PTO				Complete if Known		
			SCUDE	Application Number		
INFORMATION DISCLOSURE				Filing Date		
STATEMENT BY APPLICANT				First Named Inventor	Ho-Sang Sung	
(use as many sheets as necessary)				Art Unit		
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Sheet	1	of	2	Attorney Docket Number	3364P109	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,937,378	08-10-1999	Serizawa	
		US-			
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		US-			

	FOREIGN PATENT DOCUMENTS							
Examiner Cite		Foreign Patent Document			Pages, Columns, Lines.	76		
(nitials*	No.	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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Signature	Considered	

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (05-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 05/02/2003.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known		
				Application Number		
				Filing Date		
				First Named Inventor	Ho-Sang Sung	
				Art Unit		
(use as many sheets as necessary)				Examiner Name		
Sheet	2	of	2	Attorney Docket Number	3364P109	

OTHER ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		SEAN A. RAMPRASHAD, "A Two Stage Hybrid Embedded Speech/Audio Coding Structure", 0-7803-4428-6/98, 1998 IEEE, pp 337-340.		

Examiner	Date	
Signature	Considere	d

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